

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BAR-OR et al.

Serial No.: 09/678,202

Filed: September 29, 2000

Atty. File No.: 4172-3

For: "METAL-BINDING COMPOUNDS  
AND USES THEREFOR"

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the notice to File Missing Parts (copy enclosed), Applicants are submitting the following:

1. Declaration of the inventors;
2. Power of Attorney; and
3. A check for \$1,960 to cover the small entity filing fees.

Charge any additional fees, or credit any overpayment of fees, to deposit account No. 19-1970.

The assignee of the present application is a small business concern, and small entity status is entitled to be asserted for the present application.

In response to the Raw Sequence Listing Error Report (copy enclosed), corrected copies of the Sequence Listing in paper and computer readable form are being submitted herewith. In addition to correcting the error identified on the Error Report, the name of one of the inventors omitted from the Sequence Listing as filed has been added. The enclosed paper and computer readable forms of the Sequence Listing do not include new matter, and the paper and computer readable forms are identical.

In addition, please revise the official Filing Receipt to include the names of the Applicant(s) as follows:



Group Art Unit:

Examiner:

RESPONSE TO NOTICE TO FILE  
MISSING PARTS AND SUBMISSION  
OF CORRECTED SEQUENCE LISTING

|  |
|--|
| CERTIFICATE OF MAILING   |
| I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS<br>BEING DEPOSITED WITH THE UNITED STATES POSTAL<br>SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE<br>ADDRESSED TO THE ASSISTANT COMMISSIONER FOR<br>PATENTS, WASHINGTON, DC 20231 ON <u>2/21/01</u><br>SHERIDAN ROSS, P.C.<br>BY: <u>Stephanie Bissell</u> |

David Bar-Or, Englewood CO  
C. Gerald Curtis, Cardiff, United Kingdom  
Edward Lau, Boulder CO  
Nagaraja K.R. Rao, Cardiff, United Kingdom  
James V. Winkler, Denver CO  
Wannell M. Crook, Castle Rock CO

The continuing data should also be corrected to indicate that the present application claims benefit of application serial No. 60/157,404 and application serial No. 60/211,078. For your reference, a copy of the Filing Receipt is enclosed, with the corrections indicated in red. Please issue a corrected Filing Receipt for this patent application.

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Wannell Crook

Wannell M. Crook  
Registration No. 31,071  
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Date: Feb. 21, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
|--------------------|---------------------|-----------------------|------------------------|

09/678,202

09/29/2000

FIR

ST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

4172-3

Wannell M Crook  
Sheridan Ross P C  
1560 Broadway  
Suite 1200  
Denver, CO 80202-5141



3  
FORMALITIES LETTER



\*OC000000005635659\*

Date Mailed: 12/22/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$28274.
  - \$26766 for 1487 total claims over 20.
  - \$1248 for 16 independent claims over 3 .
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

03/01/2001 RHMARHOL 00000006 09678202

|           |        |    |
|-----------|--------|----|
| 01 FC:201 | 355.00 | OP |
| 02 FC:203 | 765.00 | OP |
| 03 FC:202 | 640.00 | OP |
| 04 FC:204 | 135.00 | OP |
| 05 FC:205 | 65.00  | OP |

- The balance due by applicant is \$ 29094.

*A copy of this notice MUST be returned with the reply.*

*(Signature)*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



RECEIVED

DEC 29 2000

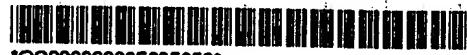
UNITED STATES PATENT AND TRADEMARK OFFICE SHERIDAN, ROSS

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/678,202         | 09/29/2000  | 1614         | 0             | 4172-3         | 24       | 400        | 19         |

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## FILING RECEIPT



\*OC00000005635658\*

Date Mailed: 12/22/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

David Bar-Or, Englewood CO  
 C. Gerald Curtis, Cardiff, United Kingdom  
 Edward Lau, Boulder CO  
 Nagaraja K.R. Rao, Cardiff, United Kingdom  
 James V. Winkler, Denver CO  
 Wannell M. Crook, Castle Rock CO

Applicant(s) →  
 FILING LICENSE GRANTED 12/21/2000  
 37 CRR § 1.12. If there is a change in the  
 Continuing Data as Claimed by Applicant  
 a society or THIS APPLN CLAIMS BENEFIT OF 60/157,404 10/01/1999  
 (b) WHICH CLAIMS BENEFIT OF 60/211,078 06/13/2000  
 AND  
 Foreign Applications

If Required, Foreign Filing License Granted 12/21/2000

Title

- The doc Metal-binding compounds and uses therefor
- If your application

Preliminary Class

Recpt 514

Data entry by : ROBINSON, YOLANDA

Team : OIPE

Date: 12/22/2000

